

# Being serious about permutation invariance in quantum mechanics

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- 1 Permutation invariance ought to be construed as an “analytic symmetry”, i.e. an artefact of representational redundancies. I call this doctrine **anti-factorism**.
- 2 Anti-factorism has important and overlooked consequences:
  - 1 The full state space accessible to an assembly of elementary systems does not have a straightforward **tensor product structure**.
  - 2 The **partial trace** operation cannot be taken to yield information about the state of a constituent system.
  - 3 Non-separability provides an inadequate (because too weak) criterion for **entanglement**.
- 3 In this talk I will advocate:
  - 1 A decomposition of (parts of) the assembly's state space into state spaces for the proper constituents. These constituents ought to be construed as **absolutely discernible**.
  - 2 An alternative to the partial trace operation for extracting states of constituent systems, which vindicates the above claim.
  - 3 An alternative criterion (and continuous measure) for entanglement (according to which e.g. fermions are *not* always entangled).

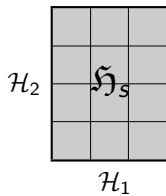
# Outline

# Distinguishable systems (1)

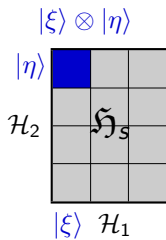
## An assembly of two “distinguishable” systems:

- $\mathcal{H}_1$  – Hilbert space of system 1
- $\mathcal{H}_2$  – Hilbert space of system 2
- $\mathcal{H}_1 \otimes \mathcal{H}_2 =: \mathfrak{H}_s$  – Hilbert space of assembly
- $\mathcal{H}_1$  as it occurs as a factor of  $\mathfrak{H}_s$  continues to represent the possible states for system 1, and  $\mathcal{H}_2$  to represent the possible states for system 2.
  
- So, e.g.,  $|\xi\rangle \otimes |\eta\rangle$  is interpreted as: system 1 being in the state (represented by)  $|\xi\rangle$  and system 2 being in the state (represented by)  $|\eta\rangle$ .
- Any non-separable state counts as **entangled**.

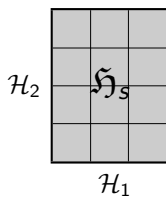
# Distinguishable systems (2)



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$$\begin{array}{c} |\xi\rangle \otimes |\eta\rangle \\ |\eta\rangle \begin{array}{|c|c|c|} \hline \alpha & & \\ \hline & \mathfrak{H}_s & \\ \hline & & \\ \hline \end{array} \\ \mathcal{H}_2 \\ |\psi\rangle \begin{array}{|c|c|c|} \hline & & \beta \\ \hline & & \\ \hline & & \\ \hline \end{array} |\phi\rangle \otimes |\psi\rangle \\ |\xi\rangle \quad \mathcal{H}_1|\phi\rangle \end{array} \quad \alpha|\xi\rangle \otimes |\eta\rangle + \beta|\phi\rangle \otimes |\psi\rangle$$



## An assembly of two “indistinguishable” systems:

- $\mathcal{H}_1 \cong \mathcal{H}_2 \cong \mathcal{H}$  – single system Hilbert space
- $\mathcal{H} \otimes \mathcal{H}$  – *prima facie* assembly Hilbert space.
- Impose **permutation invariance**:

$$\forall \pi \in S_N : \quad \langle \psi | P_\pi^\dagger Q P_\pi | \psi \rangle = \langle \psi | Q | \psi \rangle \quad (1)$$

- Induced superselection, with superselection sectors

$$\mathcal{S}(\mathcal{H} \otimes \mathcal{H}) \quad \text{and} \quad \mathcal{A}(\mathcal{H} \otimes \mathcal{H})$$

$$\mathcal{S}(\mathcal{H} \otimes \mathcal{H}) \quad \text{or} \quad \mathcal{A}(\mathcal{H} \otimes \mathcal{H})$$

**Factorism:**

(As in the “distinguishable” case) each factor Hilbert space  $\mathcal{H}$  represents the possible states for one of the systems.

- One may take each factor Hilbert space label as a particle label.
- Again, any non-separable state, e.g.  $\frac{1}{\sqrt{2}} (|\xi\rangle \otimes |\eta\rangle + |\eta\rangle \otimes |\xi\rangle)$  is interpreted as **entangled**.

# Decomposing the joint Hilbert space properly

- Factorism does not decompose the right Hilbert space. It decomposes  $\mathcal{H} \otimes \mathcal{H}$ ; it should decompose  $\mathcal{S}(\mathcal{H} \otimes \mathcal{H})$ .
- We need to think about how to decompose the right Hilbert space (or its associated algebra).

**Anti-factorist Credo:** *Factor Hilbert space labels represent nothing.*

# Outline

# Natural decompositions

- Following Zanardi (2001) and Zanardi, Lidar & Lloyd (2004), a natural decomposition requires finding a **tensor product structure**.
- So we seek two Hilbert spaces  $\mathcal{H}_1, \mathcal{H}_2$  such that  $\mathcal{S}(\mathcal{H} \otimes \mathcal{H}) \cong \mathcal{H}_1 \otimes \mathcal{H}_2$ .
- But  $\dim(\mathcal{S}(\mathcal{H} \otimes \mathcal{H}))$  might be prime!
- So instead seek natural decompositions of **subspaces** of the joint Hilbert space  $\mathcal{S}(\mathcal{H} \otimes \mathcal{H})$ :

$$\mathcal{S}(\mathcal{H} \otimes \mathcal{H}) = \bigoplus_i \mathfrak{G}_i, \quad \text{where each } \mathfrak{G}_i \cong \mathcal{H}_1^{(i)} \otimes \mathcal{H}_2^{(i)} \quad (2)$$

- Particle assemblies as like political assemblies, which survive their individual members.

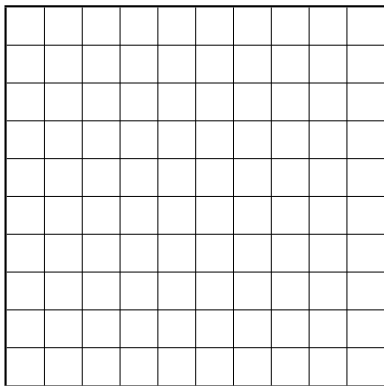
# Qualitative Individuation (1)

- These subspaces are to be picked out by **qualitative individuation**.
- ‘Qualitative individuation’ means to pick out by appeal to qualitative properties and relations.
- Projectors  $E_\alpha, E_\beta$  on  $\mathcal{H}$  – **individuation criteria**
- We must have  $E_\alpha \perp E_\beta$ ; i.e.  $E_\alpha E_\beta = E_\beta E_\alpha = 0$ .
- We may have  $\dim(E_\alpha), \dim(E_\beta) > 1$  and  $E_\alpha + E_\beta < \mathbb{1}$ .
- e.g.:  $E_\alpha = \int_{-\infty}^0 dE_x \otimes \mathbb{1}_{\text{spin}}$ ;  $E_\beta = \int_0^\infty dE_x \otimes \mathbb{1}_{\text{spin}}$
- Then the projector on  $\mathcal{H} \otimes \mathcal{H}$ :

$$\mathcal{E} := E_\alpha \otimes E_\beta + E_\beta \otimes E_\alpha \quad (3)$$

picks out a subspace, aka an **individuation block**.

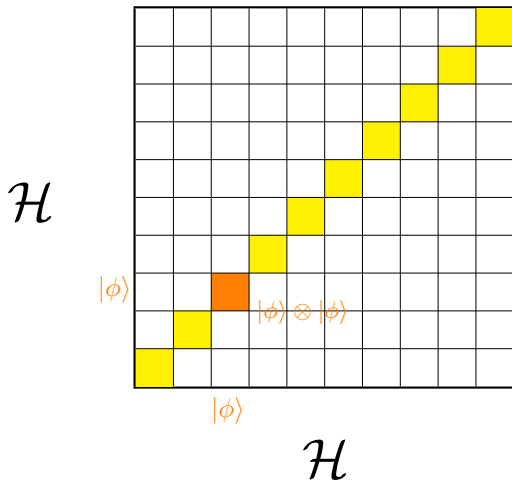
# Qualitative individuation (2)

 $\mathcal{H}$ 

Each square  
represents  
a product state

 $\mathcal{H}$

# Qualitative individuation (2)

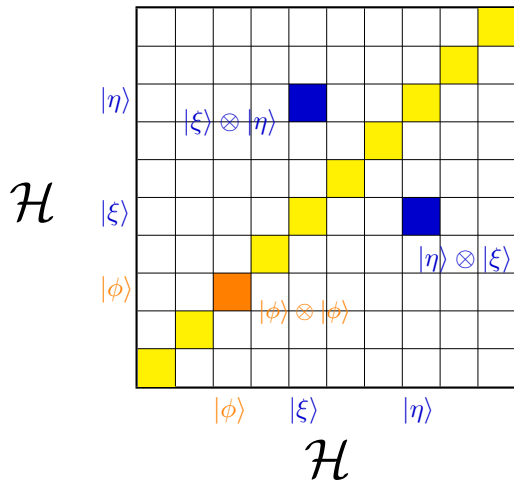


Each square  
represents  
a product state

Condensed states  
along diagonal



# Qualitative individuation (2)



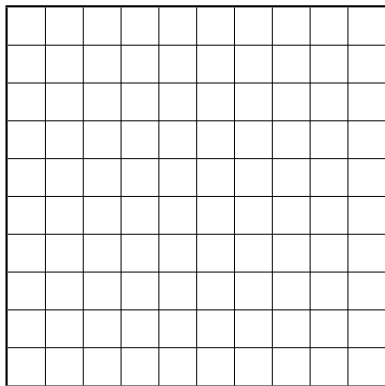
Each square represents a product state

Condensed states along diagonal

Permutes reflected across diagonal

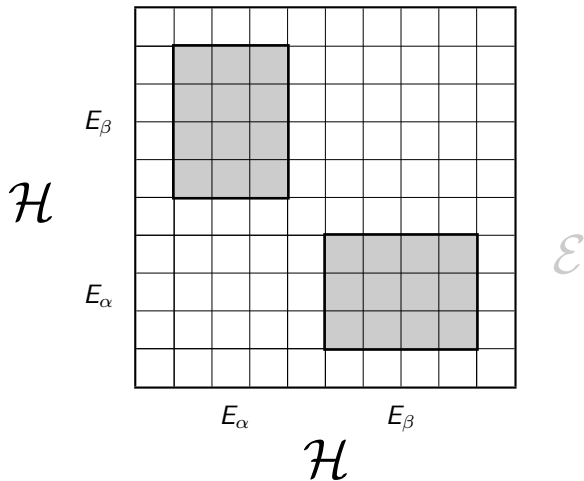
# Qualitative individuation (2)

$\mathcal{H}$

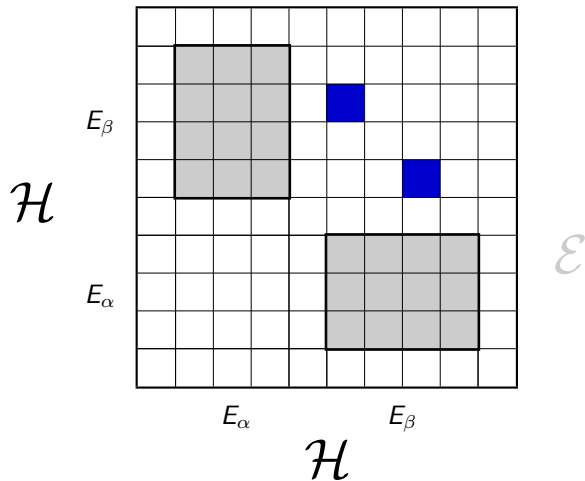


$\mathcal{H}$

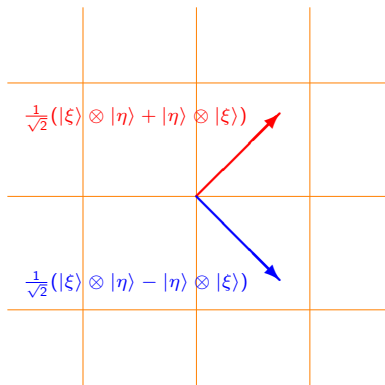
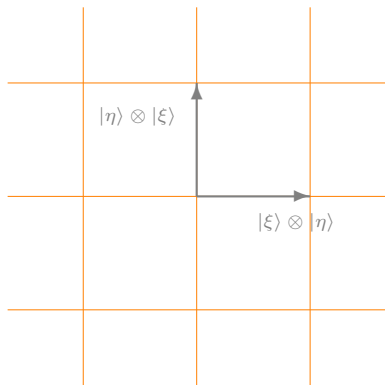
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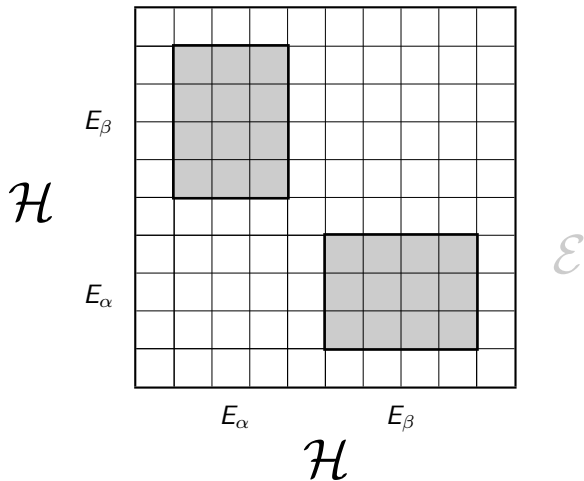
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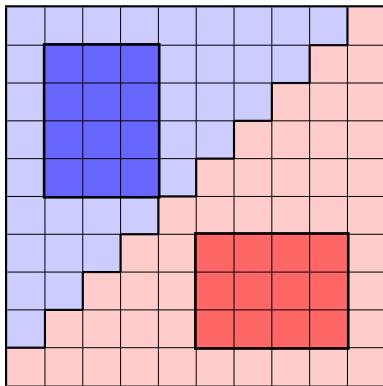


# Qualitative individuation (2)

Blue squares  
represent  
antisymmetrized  
states  
(fermions)

$$\mathcal{E}|_f$$

$$\mathcal{A}(\mathcal{H} \otimes \mathcal{H})$$

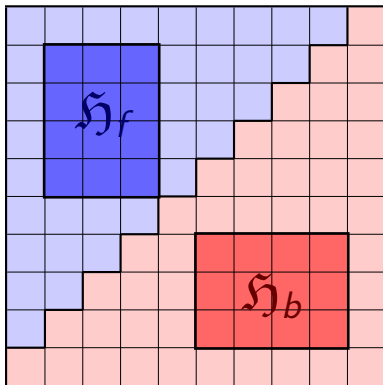


$$\mathcal{S}(\mathcal{H} \otimes \mathcal{H})$$

$$\mathcal{E}|_b$$

Red squares  
represent  
symmetrized states  
(bosons)

# Qualitative individuation (2)





# Outline

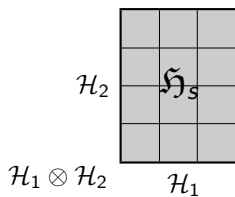
# Reps and representation

A **rep** of a  $*$ -algebra  $\mathfrak{A}$  is an ordered pair  $\langle \mathfrak{H}, \pi \rangle$  of a Hilbert space  $\mathfrak{H}$  and a  $*$ -homomorphism  $\pi : \mathfrak{A} \rightarrow \mathcal{B}(\mathfrak{H})$ .

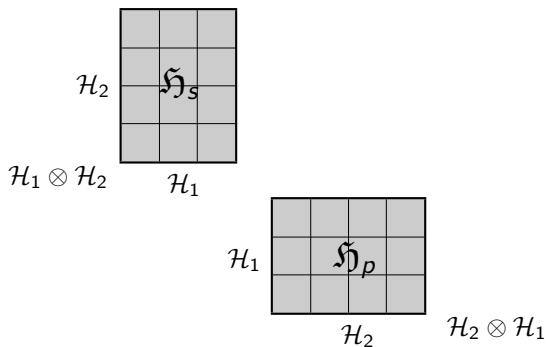
Two reps  $\langle \mathfrak{H}, \pi \rangle$  and  $\langle \mathfrak{K}, \phi \rangle$  are **unitarily equivalent** iff there is a unitary  $U : \mathfrak{H} \rightarrow \mathfrak{K}$  such that  $U\pi[\mathfrak{A}] = \phi[\mathfrak{A}]U$ .

If two reps are unitarily equivalent, and any physical quantity not represented is invariant between them, then the two reps are equally good representations of any given physical system.

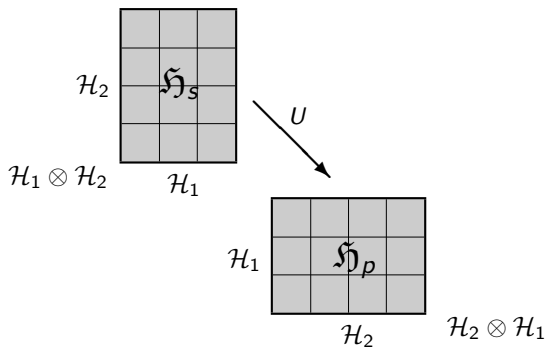
# An example of unitary equivalence



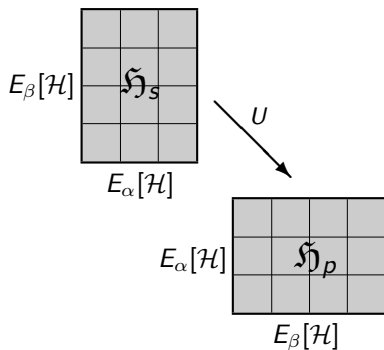
# An example of unitary equivalence



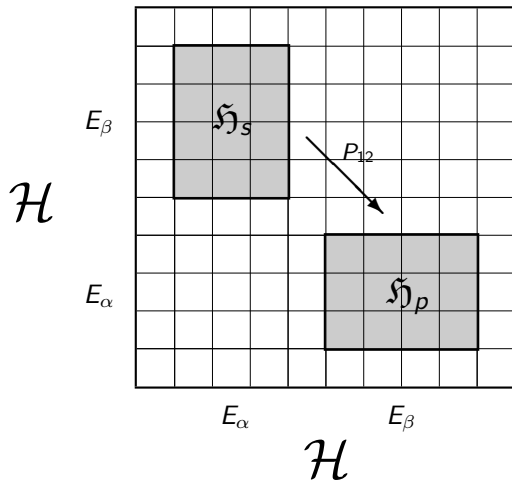
# An example of unitary equivalence



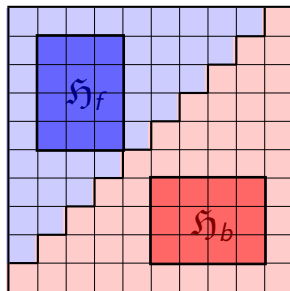
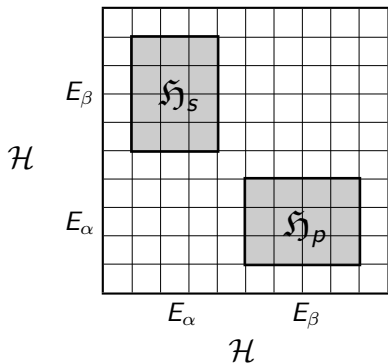
# Qualitative Individuation, contd.



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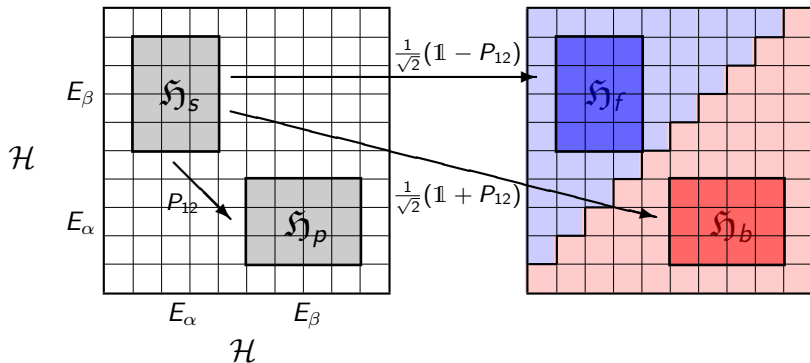


# Qualitative Individuation, contd.

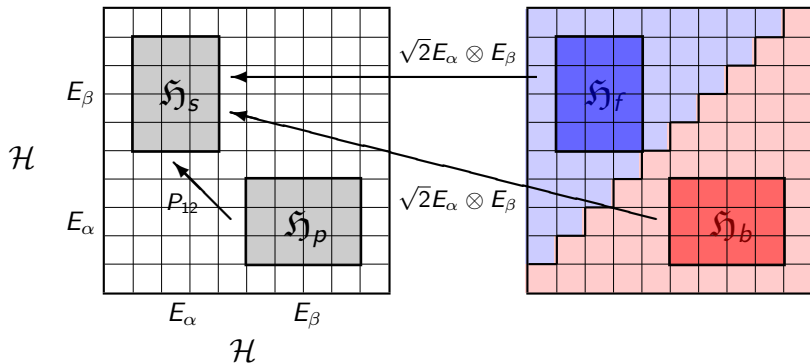




# Qualitative Individuation, contd.



# Qualitative Individuation, contd.



# Qualitative individuation, contd.

- $\mathfrak{H}_s$ ,  $\mathfrak{H}_p$ ,  $\mathfrak{H}_f$  and  $\mathfrak{H}_b$  are all unitarily equivalent:

$$\mathfrak{H}_b \cong \mathfrak{H}_f \cong \mathfrak{H}_p \cong \mathfrak{H}_s = \mathcal{H}_1 \otimes \mathcal{H}_2$$

- So we have found a tensor product structure for  $\mathfrak{H}_b$  and  $\mathfrak{H}_f$ .
- Also, all relevant physical quantities are represented in these Hilbert spaces (assuming the anti-factorist credo).
- So we should give the *same* physical interpretation to all four Hilbert spaces:
  - One system has a state in  $\text{ran}(E_\alpha)$ , and the other has a state in  $\text{ran}(E_\beta)$ .
  - But  $E_\alpha \perp E_\beta$ : so the two systems possess different properties—i.e. they are **absolutely discernible**.
- All this holds so long as the assembly's state vector lies in the **individuation block** determined by  $E_\alpha$  &  $E_\beta$ .

# Outline

# Constituent systems considered alone

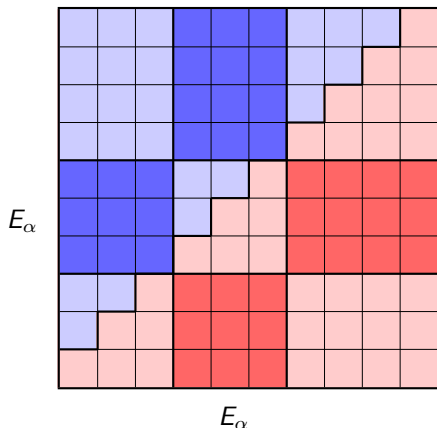
How do we calculate expectation values for a *single* constituent system?

Two proposals:

- 1 **Individuation:** demand *unique* instantiation of some individuation criterion  $E_\alpha$ .
- 2 **Averaging:** average over all the constituent systems that satisfy  $E_\alpha$ .

It's surely best to treat these as complementary tools, rather than rivals.

# Lonely systems: individuation



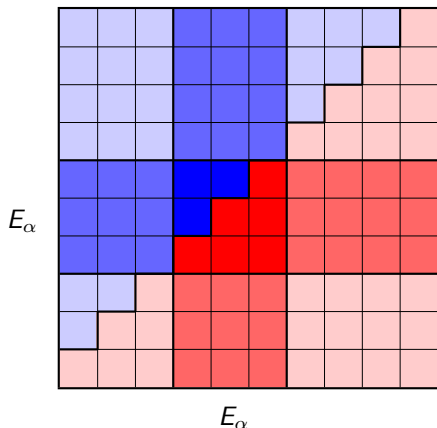
$$\mathcal{E}_\alpha := E_\alpha \otimes E_\alpha^\perp + E_\alpha^\perp \otimes E_\alpha$$

$$\iota_\alpha(A) := E_\alpha A E_\alpha \otimes E_\alpha^\perp + E_\alpha^\perp \otimes E_\alpha A E_\alpha$$

$$\langle A \rangle_\alpha := \frac{\langle \iota_\alpha(A) \rangle}{\langle \mathcal{E}_\alpha \rangle} = \frac{\langle \iota_\alpha(A) \rangle}{\langle \iota_\alpha(\mathbf{1}) \rangle}$$

- Russell vs. Strawson?
- $\iota_\alpha[\mathcal{B}(\mathcal{H})] \cong \mathcal{B}(\text{ran}(E_\alpha))$
- A special case of multi-particle decomposition only for  $N = 2$

# Lonely systems: averaging



$$n_\alpha := E_\alpha \otimes \mathbb{1} + \mathbb{1} \otimes E_\alpha$$

$$\pi_\alpha(A) := E_\alpha A E_\alpha \otimes \mathbb{1} + \mathbb{1} \otimes E_\alpha A E_\alpha$$

$$\langle A \rangle_\alpha := \frac{\langle \pi_\alpha(A) \rangle}{\langle n_\alpha \rangle} = \frac{\langle \pi_\alpha(A) \rangle}{\langle \pi_\alpha(\mathbb{1}) \rangle}$$

- Contributions from “doubly occupied” states are counted twice
- $\pi_\alpha[\mathcal{B}(\mathcal{H})] \not\cong \mathcal{B}(\text{ran}(E_\alpha))$

# Outline



# Reduced density operators for QI'd systems

We know from Gleason's theorem that some density operator  $\rho_\alpha$  exists such that

$$\forall A \in \mathcal{B}(\mathcal{H}) : \langle A \rangle_\alpha = \text{Tr}(\rho_\alpha A) \quad (4)$$

The specific form (in orthobasis  $\{|\phi_i\rangle\}$ ) is

$$\rho_\alpha = \frac{1}{\langle n_\alpha \rangle} \sum_{i,j} \text{Tr}[\rho \iota_\alpha (|\phi_j\rangle\langle\phi_i|)] |\phi_i\rangle\langle\phi_j| \quad (5)$$

Special cases:

- $E_\alpha = |\alpha\rangle\langle\alpha|$ . Then  $\rho_\alpha = |\alpha\rangle\langle\alpha|$ , so long as  $\langle n_\alpha \rangle > 0$ .
- $E_\alpha = \mathbb{1}$ . Then

$$\rho_\alpha = \frac{1}{N} \sum_{k=1}^N \sum_{i,j} \text{Tr}[\rho (|\phi_j\rangle\langle\phi_i|)_k] |\phi_i\rangle\langle\phi_j| = \frac{1}{N} \sum_{k=1}^N \rho_k = \bar{\rho} \quad (6)$$

So for bosons & fermions, all the  $\rho_k$ —calculated using the partial trace—give the (same) state of the “average system”.

# Outline

# Ubiquitous and unique systems

For any state  $|\psi\rangle$  of the assembly, call a constituent system, QI'd with some IC  $E_\alpha$ , **ubiquitous and unique** in  $|\psi\rangle$  iff  $E_\alpha$  is instantiated *exactly once* in every separable term of  $|\psi\rangle$ :

$$\text{System } \alpha \text{ is u \& u iff } (E_\alpha \otimes E_\alpha^\perp + E_\alpha^\perp \otimes E_\alpha)|\psi\rangle = |\psi\rangle$$

- ICs whose associated systems are u & u are anti-factorist surrogates for **particle labels**.
- If an  $N$ -system state has support in some individuation block, then  $\exists$   $N$  orthogonal ICs for systems which are u & u.
- U & u systems “know” about the whole state, and we don't get in trouble with multiple occupation.
- This is invaluable in providing a new definition of entanglement. . .

# Outline

# The need for a better notion

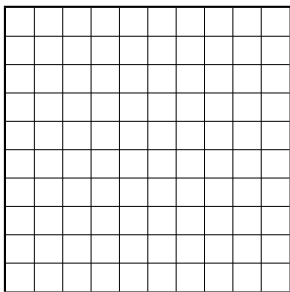
- **Strategy:** Give the same interpretation to each individuation block as is given to its u.e. product spaces.
- Take any state which maps from a product state under  $U$ . It will be of the form

$$\frac{1}{\sqrt{2}}(|\xi\rangle \otimes |\eta\rangle \pm |\eta\rangle \otimes |\xi\rangle) \quad (7)$$

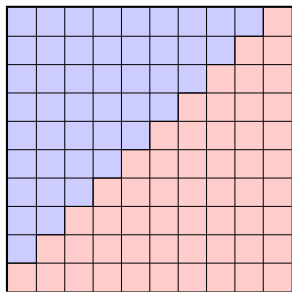
- Since the corresponding product state is non-entangled (separable), we ought also to count (7) as non-entangled.
- cf. Ghirardi, Marinatto & Weber (2002), Ghirardi & Marinatto (2003, 2004, 2005) . . .

# Entanglements compared

$\mathcal{H}$



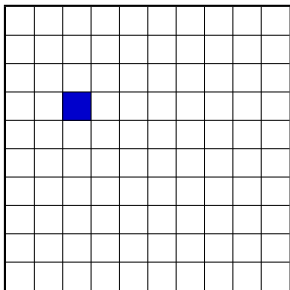
$\mathcal{H}$



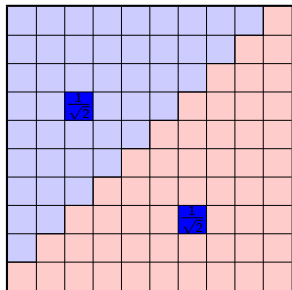
# Entanglements compared

non-entanglement

$\mathcal{H}$

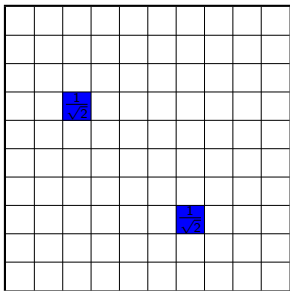


$\mathcal{H}$



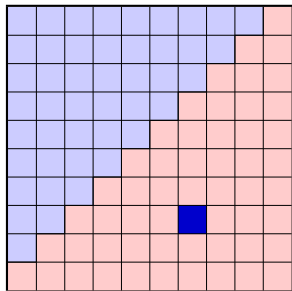
# Entanglements compared

$\mathcal{H}$



$\mathcal{H}$

non-GMW-entanglement (bosons)

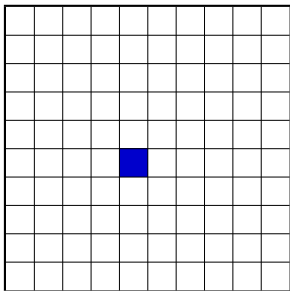




# Entanglements compared

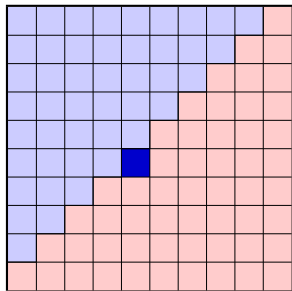
non-entanglement

$\mathcal{H}$



$\mathcal{H}$

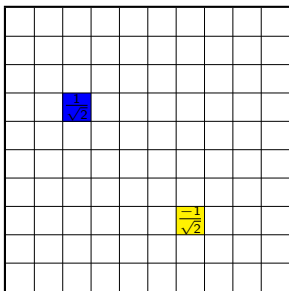
non-GMW-entanglement (bosons)



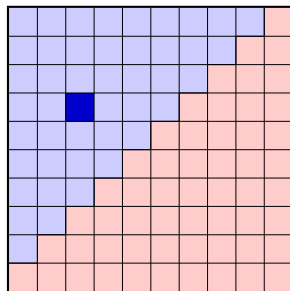
# Entanglements compared

non-GMW-entanglement (fermions)

$\mathcal{H}$



$\mathcal{H}$



# Outline

A bosonic (fermionic) state is **GMW-entangled** iff it is *not* the (anti-) symmetrization of a separable state.

- Nice result 1:
  - An assembly's state is non-entangled iff its constituent systems occupy **pure** states.
  - An assembly's state is non-GMW-entangled iff **1D** ICs suffice to individuate the constituent systems.
- Nice result 2: For two systems:
  - An assembly's state is entangled iff it violates a Bell inequality for some beables (Gisin 1991).
  - An assembly's state is GMW-entangled iff it violates a Bell inequality for some *symmetric* beables.

# Outline

# A continuous measure of GMW-entanglement

In **eligible**  $N$ -system states, we may define a continuous measure of GMW-entanglement. Eligible := there are  $N$  u & u systems.

Take the von Neumann entropy of the reduced density operator of (any of) the u & u systems.

$$\begin{aligned} E_{GMW}(|\psi\rangle) &= S(\rho_\alpha) := -\text{Tr}(\rho_\alpha \log_2 \rho_\alpha) \\ &= -\text{Tr}[\bar{\rho} \log_2(N\bar{\rho})] \\ &= S(\bar{\rho}) - \log_2 N \end{aligned}$$

- We use  $N\bar{\rho} = \text{diag}(\rho_\alpha, \rho_\beta, \dots)$ .
- $S(\bar{\rho})$  is independent of the ICs  $E_\alpha, E_\beta, \dots$ , so  $E_{GMW}$  is too.
- For eligible states,  $E_{GMW}(|\psi\rangle) = 0$  iff  $|\psi\rangle$  is not GMW-entangled.
- $E_{GMW} \leq \log_2(\frac{d}{N})$ . For fermions,  $E_{GMW} \leq \log_2(\lfloor \frac{d}{N} \rfloor)$ .

# Outline

# Fermions are always absolutely discernible (1)

Constituent systems are individuated—and all the foregoing is valid—*only so long as* the assembly's state has support in some individuation block.

Can an individuation block always be found for the assembly's state?

- Bosons: **No:** non-zero amplitudes for multiply-occupied states!
- Fermions: **Yes:** by using Slater decompositions...

(See Schliemann, Cirac, Kuś, Lewenstein & Loss (2001); Eckert, Schliemann, Bruß & Lewenstein (2002).)



# Fermions are always absolutely discernible (2)

4	$c_{14}$	$c_{24}$	$c_{34}$	
3	$c_{13}$	$c_{23}$		$-c_{34}$
2	$c_{12}$		$-c_{23}$	$-c_{24}$
1		$-c_{12}$	$-c_{13}$	$-c_{14}$
	1	2	3	4

# Fermions are always absolutely discernible (2)

We now implement the following theorem (see e.g. Mehta 1977)...

For any complex anti-symmetric  $n \times n$  matrix  $M$ , there is a unitary transformation  $U$  such that  $\tilde{M} := UMU^T$  has the form

$$\tilde{M} = \text{diag}[Z_1, \dots, Z_r, Z_0]$$

where  $Z_k := iz_k \sigma_2$  and  $Z_0 := \mathbb{O}^{(n-2r)}$

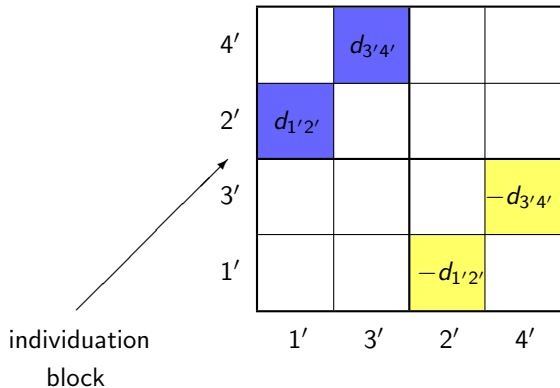
# Fermions are always absolutely discernible (2)

4'		$d_{3'4'}$		
3'			$-d_{3'4'}$	
2'	$d_{1'2'}$			
1'		$-d_{1'2'}$		
	1'	2'	3'	4'

# Fermions are always absolutely discernible (2)

4'		$d_{3'4'}$		
3'				$-d_{3'4'}$
2'	$d_{1'2'}$			
1'			$-d_{1'2'}$	
	1'	3'	2'	4'

# Fermions are always absolutely discernible (2)



# Trans-branch identity (1)

But the basis states  $1', 2', 3', 4'$  may be grouped together differently...

# Trans-branch identity (2)

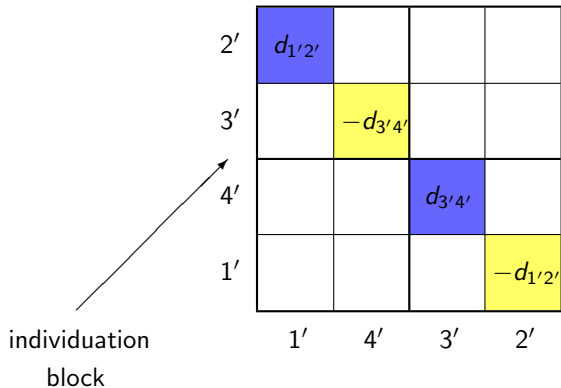
4'		$d_{3'4'}$		
3'			$-d_{3'4'}$	
2'	$d_{1'2'}$			
1'		$-d_{1'2'}$		
	1'	2'	3'	4'

# Trans-branch identity (2)

4'			$d_{3'4'}$	
3'		$-d_{3'4'}$		
2'	$d_{1'2'}$			
1'				$-d_{1'2'}$
	1'	4'	3'	2'



# Trans-branch identity (2)



# Trans-branch identity (3)

	1'	2'	3'	
4'			$d_{3'4'}$	
3'				
2'	$d_{1'2'}$			

No uniquely natural  
“trans-branch identity”  
for u & u systems!

	1'	3'
4'		$d_{3'4'}$
2'	$d_{1'2'}$	

	1'	4'
2'	$d_{1'2'}$	
3'		$-d_{3'4'}$

# Outline

# Rival natural decompositions

Any non-GMW-entangled fermionic state can be naturally decomposed in a *variety* of bases.

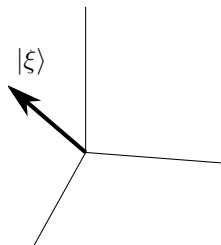
The most well-known example is the spherically symmetric state

$$\frac{1}{\sqrt{2}} (|\uparrow\rangle \otimes |\downarrow\rangle - |\downarrow\rangle \otimes |\uparrow\rangle) = \frac{1}{\sqrt{2}} (|\leftarrow\rangle \otimes |\rightarrow\rangle - |\rightarrow\rangle \otimes |\leftarrow\rangle) \quad (8)$$

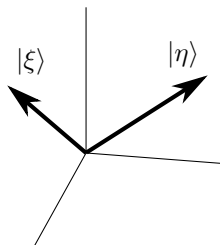
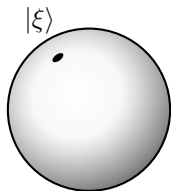
But the result is completely general, applying to all non-GMW fermionic states.

This has to do with the fact that fermionic states are **wedge products** of single-system states.

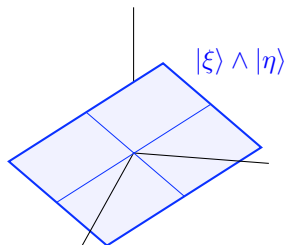
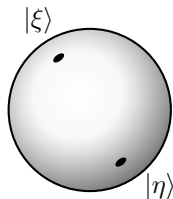
# Non-entangled states represented in $\mathcal{H}$



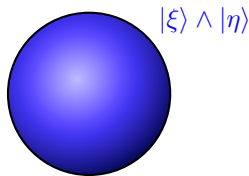
1 particle



2 dist. particles



2 fermions



# Outline

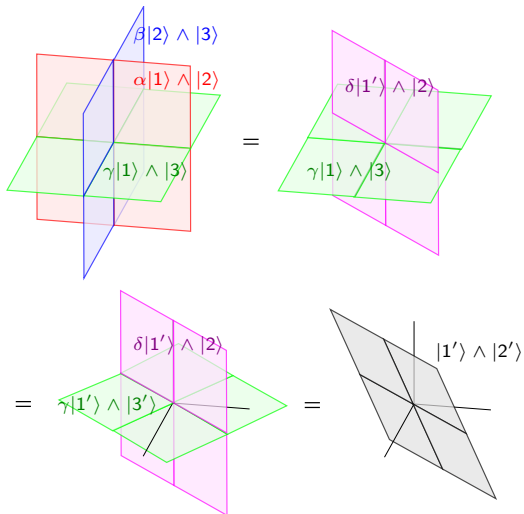
# Entanglement is hard to find

Recall that for fermionic states  $E_{GMW} \leq \log_2\left(\lfloor \frac{d}{N} \rfloor\right)$ .

This is because single-system states need to be used both for *individuating* the constituent systems (i.e. ensuring that they are u & u) and for generating the variety required for *entanglement*.

Imagine distributing  $d$  single-system states between  $N$  systems. The degree of entanglement available is constrained by the system with the *least* number of states allocated to it.

# No entanglement in $\mathbb{C}^3$



$$|1'\rangle := \frac{\alpha}{\delta}|1\rangle - \frac{\beta}{\delta}|3\rangle$$

$$\delta := \sqrt{|\alpha|^2 + |\beta|^2}$$
$$= \sqrt{1 - |\gamma|^2}$$

$$|3'\rangle := \frac{\beta^*}{\delta}|1\rangle + \frac{\alpha^*}{\delta}|3\rangle$$

$$|2'\rangle := \delta|2\rangle + \gamma|3'\rangle$$



# Summary

- ① Taking permutation invariance *seriously* means taking factor Hilbert space labels to represent *nothing*.
- ② The Hilbert space of an assembly under PI is not *globally* naturally decomposable, but “off-diagonal” subspaces of it are.
- ③ States for constituent systems *cannot* be obtained by the usual partial trace. They must be *qualitatively individuated*.
- ④ The right notion of entanglement under PI is *GMW-entanglement*  $\neq$  non-separability.
- ⑤ Any non-GMW-entangled state of  $N$  fermions is aptly represented by an  $N$ -dimensional subspace of the *single-system* Hilbert space.